## IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

SECURITIES AND EXCHANGE COMMISSION,	§
	§
Plaintiff,	<b>§</b>
	<b>§</b>
<b>v.</b>	§ Civil Action No.: 4:19-cv-1774
	<b>§</b>
ANDREW I. FARMER,	<b>§</b>
EDDIE D. AUSTIN, JR.,	§
SCOTT R. SIECK,	§
CAROLYN AUSTIN,	§
and	§
JOHN D. BROTHERTON,	§
	§
Defendants.	§
	§

## PLAINTIFF'S CERTIFICATE OF INTERESTED PARTIES

The Securities and Exchange Commission is unaware of any person or entity, other than the Defendants and their investors, who have a financial interest in the outcome of this litigation.

Dated: May 15, 2019 Respectfully submitted,

s/Matthew J. Gulde

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s/ Joshua E. Braunstein

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